

# Grantee: Tuscaloosa, AL

## Grant: B-12-MT-01-0002

### July 1, 2021 thru September 30, 2021 Performance

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<b>Grant Number:</b> B-12-MT-01-0002	<b>Obligation Date:</b>	<b>Award Date:</b>
<b>Grantee Name:</b> Tuscaloosa, AL	<b>Contract End Date:</b>	<b>Review by HUD:</b> Submitted - Await for Review
<b>Grant Award Amount:</b> \$16,634,702.00	<b>Grant Status:</b> Active	<b>QPR Contact:</b> No QPR Contact Found
<b>LOCCS Authorized Amount:</b> \$16,634,702.00	<b>Estimated PI/RL Funds:</b> \$3,708,680.32	
<b>Total Budget:</b> \$20,343,382.32		

### Disasters:

#### Declaration Number

FEMA-1971-AL

### Narratives

#### Disaster Damage:

On April 27, 2011, at approximately 5:00 PM CDT, a severe thunderstorm warning producing straight line winds and several tornadoes, including a category EF-4 on the Enhanced Fujita Scale, tore through the City of Tuscaloosa, damaging and/or destroying homes along with commercial, industrial and major government buildings. During the two weeks following the storm, the City Building Inspections staff completed a block-by-block assessment of damages to structures that were a direct result of the storm. A total of 53 lives were lost and at least 4,289 homes were damaged or destroyed within the City limits (see Appendix A for a map of the recovery zone). A large percentage of these homes were rental property located in a demographically lower-income area of the community. According to HUD's Alabama Housing Needs Assessment published in October 2011, Tuscaloosa County contained 70.1% of the entire State of Alabama's rental housing units with unmet needs, a total of 1,171 units which equated to \$55.2 million. Of these 1,171 units, 91% are within the city limits, a total of 1,066 which equated to \$50.25 million. Of the 4,289 homes damaged or destroyed, 4,448 were owner occupied with 112 of those still having unmet needs according to the study. It is evident from this data that a majority of those with continued unmet housing needs are families that lived in rental housing prior to the April 27, 2011 tornado. Due to the fact that Tuscaloosa's homeless shelters sponsored by the Red Cross and the Salvation Army were also rendered uninhabitable by the storm, the individuals and families displaced from this storm found were able to either find housing resources through existing available housing stock, through temporarily staying with family and friends, or moved away from the Tuscaloosa area. 421 businesses throughout the storm's path sustained major damage. Of these, approximately 54 were industrial properties and 367 were retail/general service properties. Through data collection, FEMA estimated Tuscaloosa's severe unmet business need at over \$28.2 million. This severe unmet business need is the second highest amount of severe unmet business need for all communities effected by 2011 Presidentially declared disasters, only behind, Missouri that had \$29.1 million. It is also greater than the rest of the severe unmet business need throughout the State of Alabama combined as well as greater than any other combined state disaster, other than Missouri as listed above. Damage to public buildings, equipment and infrastructure was reported throughout the storm's path. The largest of these was the direct hit sustained by the Curry Building, a 350,000-square foot City facility that housed operational and office space for four City departments including the Emergency Management Department and the Environmental Services Department along with storage space for many others including evidence storage for the Tuscaloosa Police Department. Fire Station No. 4 was damaged beyond repair and the East Police Precinct also sustained significant damage, both of which were located in the Alberta City community. A number of City fleet vehicles were damaged or destroyed including 87% of the Garbage truck fleet (20 out of 23 trucks) and 100% of the Recycling Program fleet (4 trucks and 4 trailers).

#### Disaster Damage:

sp; For this reason, the public service of garbage, trash and recycling curbside pickup was suspended immediately following the storm with garbage pickup resuming on May 2, 2011. The Hillard Fletcher Wastewater Treatment Plant and two of the City's water towers also sustained damage. Many underground sewer laterals were damaged from trees being uprooted by storm winds. Water pressure was lost and a

#### Recovery Needs:

One month after the storm, the City recognized the need for an overarching and comprehensive plan for recovery and rebuilding along the storm path. The City released a request for proposals to firms specializing in disaster recovery community planning. A proposal from BNIM, a multidisciplinary architecture, planning and design firm, was accepted. BNIM, in conjunction with City staff, spent five weeks in June and July 2011 immersed in Tuscaloosa neighborhoods and culture. They met with established City task force groups, the steering committee, many individual stakeholders, and hosted a public workshop and online forum to assess areas of strengths, weaknesses and opportunities in order to formulate a comprehensive strategy to rebuild Tuscaloosa. The strategy utilized had very specific goals: • improve connectivity



between and within neighborhoods; • provide walking, cycling and transit infrastructure to increase transportation options and reduce traffic on congested streets; • enhance the appearance and functionality of major corridors and important gateways and transportation arteries for the city; • rebuild damaged infrastructure to address longstanding issues and future needs in a comprehensive and sustainable way; and • coordinate public facilities to leverage scarce resources and create mutual benefit. The end result of this collaboration was the first draft of the Tuscaloosa Forward Generational Plan first published on July 15, 2011. The strategy identified in the Tuscaloosa Forward Generational Plan took the entire path of the storm and split it into four distinct areas: the 10th Avenue Corridor which included neighborhoods such as Rosedale Courts, The Downs, Glendale Gardens and Hillcrest; Forest Lake, which included neighborhoods such as Forest Lake and Wood Manor; 15th Street and McFarland Boulevard; and Alberta. The 10th Avenue Corridor is dominated by two distinct tracts. The first is Rosedale Courts, a predominantly low-income public housing development and its surrounding neighborhoods made up of aging single family homes. According to the City's 2010 census tract data, the median household income for this area was \$14,856. This area was completely devastated by the tornado and a majority of the homes along with the entirety of Rosedale Courts have been torn down. According to a HUD report on Unmet Housing Need in Alabama due to 2011 Federally Declared Disasters, the City of Tuscaloosa had 1,066 rental housing units that were damaged by the April 2011 tornado and still had an unmet housing need after all forms of assistance had been expended. Approximately 29% of these rental units resided in the Rosedale areas. The other tract is the three historic districts of The Downs, Glendale Gardens and Hillcrest, the populations of which is more moderate-to upper moderate income. These historic districts are almost exclusively made up of older, well established single family dwellings. Due to the makeup of these historic districts, they have recovered well and have started rebuilding many of the damaged homes. The Forest Lake area is predominantly made up of single-family homes built post-World War II with over 50% being renter occupied (mainly by students and other University of Alabama associated parties).

**Recovery Needs:**

The neighborhood contains Forest Lake, a small body of water owned by the 29 adjacent property owners. Pre-storm, this lake was surrounded by a canopy of mature trees that shaded the entire neighborhood and provided a natural barrier to the busy 15th Street roadway that directly abuts it to the north. Post storm, all of the houses on the lake's east and south sides were completely

**Public Comment:**

uly 10, 2012 regarding the draft Action Plan along with the City of Tuscaloosa's responses will be included in Appendix B.

**Public Comment:**

**Citizen Participation**

Since the April 27, 2011 tornado, the City of Tuscaloosa has worked diligently with various organizations and citizens who were directly and indirectly impacted by the natural disaster. These efforts include the interactive formulation of the Tuscaloosa Forward Generation Plan. Once this generational plan was formulated, the City held a series of public meetings in which a large number of citizens came to view details of the plan and were given the opportunity to comment. These comments were aggregated and analyzed and helped guide changes to the draft of the Tuscaloosa Forward Generational Plan. These public comments were included in the final draft of the plan as presented for adoption to the Tuscaloosa City Council on April 24, 2012. Walt Maddox, Mayor, appointed a Citizens Advisory Committee that was mandated to give an independent review of the Tuscaloosa Forward Plan. Through these public comment and interaction sessions, the City of Tuscaloosa has worked to identify gaps where immediate response efforts made by FEMA, SBA, etc. have not met all storm related damage needs. This Action Plan will outline areas of housing, infrastructure and economic revitalization that have shown a critical need for assistance to repair and rebuild the communities for damage directly related to the April 27, 2011 tornado.

The City of Tuscaloosa supports and encourages citizen participation in the development of the Disaster Recovery Action Plan. Many of the concerns expressed by citizens participating in the Tuscaloosa Forward Generational Plan formation and planning process have been incorporated into this Plan. Public hearings outlining the funding breakdown set out in this Action Plan were held at 10 A.M. and 5 P.M. on June 14, 2012 at the Tuscaloosa City Hall. The citizens in attendance were given the opportunity to comment at the meetings and for seven days after the meeting through telephone and in writing. The Action Plan was released for a public comment period on Tuesday July 3, 2012 and extends through 5:00 P.M. CDT on July 10, 2012.

Members of the public are invited to view the draft Action Plan prior to its submission during normal business hours of 7:30 A.M. to 5:00 P.M. CDT Monday through Friday at Tuscaloosa City Hall, 2201 University Boulevard, Tuscaloosa, Alabama 35401. The draft Action Plan will also be available for review on the City of Tuscaloosa's website at [www.tuscaloosa.com](http://www.tuscaloosa.com). The Action Plan was emailed to the Office of the Mayor and all City Council members. Copies of the Plan will be available in Spanish and other languages upon request. Persons with disabilities may request auxiliary aids by contacting the Incident Command Division at 205-248-5700.

Comments may be submitted via telephone to 205-248-5700 or in writing to the following address:

City of Tuscaloosa  
 Incident Command Division  
 2201 University Boulevard  
 Tuscaloosa, AL 35401.

Following the public comment period, the Action Plan will be updated and submitted to HUD on or about July 13, 2012. Comments received through the public meeting held on June 14, 2012 and comment period held from June 14, 2012 through June 21, 2012 regarding the CDBG Disaster Recovery Grant funding breakdown and the public comment period held from July 3, 2012 through J

<b>Overall</b>	<b>This Report Period</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$11,974,884.15	\$31,774,939.40
<b>Total Budget</b>	\$300,000.00	\$20,099,404.25
<b>Total Obligated</b>	\$300,000.00	\$19,129,881.80
<b>Total Funds Drawdown</b>	(\$300,000.00)	\$15,942,290.55
<b>Program Funds Drawdown</b>	(\$300,000.00)	\$12,807,192.39
<b>Program Income Drawdown</b>	\$0.00	\$3,135,098.16



<b>Program Income Received</b>	\$3,357.94	\$3,173,168.16
<b>Total Funds Expended</b>	\$0.00	\$16,242,184.20
<b>HUD Identified Most Impacted and Distressed</b>	\$4,835,686.22	\$15,010,511.21
<b>Other Funds</b>	\$ 11,674,884.15	\$ 11,675,535.15
Match Funds	\$ 8,701,012.81	\$ 8,701,663.81
Non-Match Funds	\$ 2,973,871.34	\$ 2,973,871.34

### Funds Expended

<b>Overall</b>	<b>This Period</b>	<b>To Date</b>
City of Tuscaloosa	\$ 0.00	\$ 13,754,178.70
Community Service Programs of West Alabama	\$ 0.00	\$ 751,810.00
Tuscaloosa Habitat for Humanity	\$ 0.00	\$ 439,826.50
Tuscaloosa Housing Authority	\$ 0.00	\$ 1,296,369.00

### Other Funds:

<b>Overall</b>	<b>This Period</b>	<b>To Date</b>
Matching Funds	\$ 8,701,012.81	\$ 8,701,012.81
City of Tuscaloosa General Fund	\$ 424,312.34	\$ 424,312.34
City of Tuscaloosa Water and Sewer RFFI	\$ 250,000.00	\$ 250,000.00
2013 CDBG-DR Funds	\$ 8,270,134.26	\$ 8,270,134.26
ADECA (Alabama Department of Economic and	\$ 300,000.00	\$ 300,000.00
City of Tuscaloosa General Fund	\$ 0.00	\$ 0.00
City of Tuscaloosa Water and Sewer RFFI	\$ 130,878.55	\$ 130,878.55
Non-Matching Funds	\$ 2,973,871.34	\$ 2,973,871.34
2013 CDBG-DR Funds	\$ 799,559.00	\$ 799,559.00
ADECA (Alabama Department of Economic and	\$ 1,500,000.00	\$ 1,500,000.00
ATRIP (Alabama Transportation Rehabilitation	\$ 0.00	\$ 0.00

## Progress Toward Required Numeric Targets

<b>Requirement</b>	<b>Target</b>	<b>Projected</b>	<b>Actual</b>
<b>Overall Benefit Percentage</b>	50.00%	100.00%	100.00%
<b>Minimum Non Federal Match</b>	\$ .00	\$9,185,846.88	\$8,701,663.81
<b>Overall Benefit Amount</b>	\$9,335,086.74	\$18,426,195.40	\$14,710,893.39
<b>Limit on Public Services</b>	\$2,495,205.30	\$ .00	\$ .00
<b>Limit on Admin/Planning</b>	\$3,326,940.40	\$1,673,208.85	\$1,231,397.16
<b>Limit on Admin</b>	\$831,735.10	\$829,479.65	\$432,419.01
<b>Most Impacted and Distressed</b>	\$16,634,702.00	\$16,627,788.90	\$15,010,511.21

## Overall Progress Narrative:

In this QPR, a lot of admin work was done to clean-up previously reported data, correctly reflect each activity, and bring beneficiary data to current standings. This admin work included updating information such as completed activity actual end dates, most impacted and distressed funds, performance and beneficiary data, and matching funds. These updates were made to the following activities:

10th Avenue, Alberta Parkway, University Place, Forest Lake, Rosedale II, Hurricane Creek Trace, Hombuyer Downpayment Assistance, Hargrove/Hackberry, Innovate Tuscaloosa, Aldag, Habitat, The EDGE, and Small Business Loan The Innovate Tuscaloosa grant for Alberta Technology Center (SWJ Technologies) was closed out in the reported quarter; the business met all regulations and job creation requirements.

Appraisals and review appraisals as well as design were still underway for the Juanita Drive Phase II and Downs Drainage project.

The City of Tuscaloosa received \$300,000 in recaptured funds from the 10th Avenue activity as a result of arbitration winnings with the engineer. An Action Plan Amendment (#31) was approved by HUD to use the funds toward The Downs Drainage activity. The City is currently working with HUD in regard to how to best reflect and account for these funds in DRGR. As such, in the current QPR there will be a (\$300,000) reflected in the 10th Avenue project and a \$300,000 reflected in The Downs project. Any discrepancies will be cleared up before the next QPR.



## Project Summary

### Project #, Project Title

Project #, Project Title	This Report	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
9999, Restricted Balance	\$0.00	\$0.00	\$0.00
CD-2012-DR-001, Recovery Program Administration	\$0.00	\$829,479.65	\$431,382.67
CD-2012-DR-002, Recovery Comprehensive Planning	\$0.00	\$843,729.20	\$798,978.15
CD-2012-DR-003, Recovery Housing	\$0.00	\$2,598,005.50	\$2,598,005.50
CD-2012-DR-004, Infrastructure	(\$300,000.00)	\$11,926,744.97	\$6,745,367.77
CD-2012-DR-005, Recovery Economic Development	\$0.00	\$3,408,680.32	\$2,077,527.77
CD-2012-DR-006, Recovery Economic Development (Non	\$0.00	\$492,764.61	\$155,930.53

## Activities

**Project # / CD-2012-DR-003 / Recovery Housing**





**Grantee Activity Number: DR-HOUS-01-ROSEDALE**  
**Activity Title: Rosedale Phase II Housing Development**

**Activity Type:**  
 Construction of new housing  
**Project Number:**  
 CD-2012-DR-003  
**Projected Start Date:**  
 01/01/2013  
**Benefit Type:**  
 Direct ( HouseHold )  
**National Objective:**  
 Low/Mod

**Activity Status:**  
 Completed  
**Project Title:**  
 Recovery Housing  
**Projected End Date:**  
 07/31/2014  
**Completed Activity Actual End Date:**  
 03/17/2014  
**Responsible Organization:**  
 Tuscaloosa Housing Authority

Overall	Jul 1 thru Sep 30, 2021	To Date
<b>Total Projected Budget from All Sources</b>	\$0.00	\$1,296,369.00
<b>Total Budget</b>	\$0.00	\$1,296,369.00
<b>Total Obligated</b>	\$0.00	\$1,296,369.00
<b>Total Funds Drawdown</b>	\$0.00	\$1,296,369.00
<b>Program Funds Drawdown</b>	\$0.00	\$1,296,369.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$1,296,369.00
<b>Most Impacted and Distressed Expended</b>	\$1,296,369.00	\$1,296,369.00

**Activity Description:**

Funds will only be used to cover the City's commitment to the Tuscaloosa Housing Authority and it's developer, Doug Hollyhand Realty, Inc. for the construction of Rosedale Courts Phase II development and to perform the needed environmental reviews.

**Location Description:**

10th Avenue Corridor, Tuscaloosa, Alabama on the campus of Rosedale Courts

**Activity Progress Narrative:**

Activity updated to include the completed activity actual end date and the most impacted and distressed dollars.

**Section 3 Qualitative Efforts:**

**Accomplishments Performance Measures**

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
<b>#Units with solar panels</b>	0	0/0
<b>#Low flow toilets</b>	0	86/86
<b>#Low flow showerheads</b>	0	86/86



#Units with bus/rail access	0	86/86
#Units exceeding Energy Star	0	86/86
#Sites re-used	0	0/0
#Units with other green	0	86/86
Activity funds eligible for DREF	0	0/0
# ELI Households (0-30% AMI)	0	21/86
# of Elevated Structures	0	10/10

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	0	86/86
# of Multifamily Units	0	86/86

### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	0	0	0	36/36	50/50	86/86	100.00
# Renter Households	0	0	0	36/36	50/50	86/86	100.00

### Activity Locations

No Activity Locations found.

### Other Funding Sources

No Other Funding Sources Found  
Total Other Funding Sources

Amount

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

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Activity Supporting Documents: None



<b>Grantee Activity Number: DR-HOUS-02-HURRICANE CREEK</b>	
<b>Activity Title:</b>	<b>Hurricane Creek Trace Housing Development</b>

<b>Activity Type:</b> Construction of new housing	<b>Activity Status:</b> Completed
<b>Project Number:</b> CD-2012-DR-003	<b>Project Title:</b> Recovery Housing
<b>Projected Start Date:</b> 01/01/2013	<b>Projected End Date:</b> 07/31/2014
<b>Benefit Type:</b> Direct ( HouseHold )	<b>Completed Activity Actual End Date:</b> 11/30/2014
<b>National Objective:</b> Low/Mod	<b>Responsible Organization:</b> Community Service Programs of West Alabama

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2021</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$0.00	\$751,810.00
<b>Total Budget</b>	\$0.00	\$751,810.00
<b>Total Obligated</b>	\$0.00	\$751,810.00
<b>Total Funds Drawdown</b>	\$0.00	\$751,810.00
<b>Program Funds Drawdown</b>	\$0.00	\$751,810.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$751,810.00
<b>Most Impacted and Distressed Expended</b>	\$751,810.00	\$751,810.00

**Activity Description:**

Funds will only be used to cover the City's commitment to the development team of Community Service Programs of West Alabama, and Doug Hollyhand Realty, Inc., for the development and construction of the Hurricane Creek Trace subdivision and to perform necessary environmental reviews.

**Location Description:**

6th Street East and 44th Avenue East, Tuscaloosa, Alabama

**Activity Progress Narrative:**

Updated to reflect the completed activity actual end date, most impacted and distressed dollars, and State (ADECA) matching funds.

**Section 3 Qualitative Efforts:**

**Accomplishments Performance Measures**

	<b>This Report Period</b>	<b>Cumulative Actual Total / Expected</b>
	<b>Total</b>	<b>Total</b>
<b>#Units with solar panels</b>	0	0/0
<b>#Low flow toilets</b>	0	102/102



#Low flow showerheads	0	100/100
#Units with bus/rail access	0	0/0
#Units exceeding Energy Star	0	50/50
#Sites re-used	0	0/0
#Units with other green	0	50/50
# ELI Households (0-30% AMI)	0	10/0
# of Elevated Structures	0	50/50

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Housing Units	0	50/50
# of Multifamily Units	0	50/50

### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	0	0	0	49/25	0/25	49/50	100.00
# Renter Households	0	0	0	49/25	0/25	49/50	100.00

### Activity Locations

No Activity Locations found.

#### Other Funding Sources

No Other Funding Sources Found  
Total Other Funding Sources

Amount

### Other Funding Sources Budgeted - Detail

#### Match Sources

ADECA (Alabama Department of Economic and Community Affairs)  
Subtotal Match Sources

Amount

\$300,000.00

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**Activity Supporting Documents:** None



**Grantee Activity Number: DR-HOUS-03-JUANITA DRIVE**  
**Activity Title: Habitat for Humanity/Purchase of Juanita Drive Lot**

<b>Activity Type:</b> Acquisition of property for replacement housing	<b>Activity Status:</b> Completed
<b>Project Number:</b> CD-2012-DR-003	<b>Project Title:</b> Recovery Housing
<b>Projected Start Date:</b> 01/01/2013	<b>Projected End Date:</b> 12/30/2017
<b>Benefit Type:</b> Direct ( HouseHold )	<b>Completed Activity Actual End Date:</b>
<b>National Objective:</b> Low/Mod	<b>Responsible Organization:</b> Tuscaloosa Habitat for Humanity

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2021</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$0.00	\$439,826.50
<b>Total Budget</b>	\$0.00	\$439,826.50
<b>Total Obligated</b>	\$0.00	\$439,826.50
<b>Total Funds Drawdown</b>	\$0.00	\$439,826.50
<b>Program Funds Drawdown</b>	\$0.00	\$439,826.50
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$439,826.50
<b>Most Impacted and Distressed Expended</b>	\$439,826.50	\$439,826.50

**Activity Description:**

Funds will only be used to cover the City's commitment to Habitat for Humanity Tuscaloosa for the purchase of 24 designated lots on Juanita Drive in the Alberta community and the associated costs with the necessary environmental review.

**Location Description:**

Juanita Drive, Tuscaloosa, Alabama

**Activity Progress Narrative:**

In the reported quarter, updates were made to the most impacted and distressed funds as well as adding the beneficiary information for the 23rd home to be built on 24 lots acquired by Habitat using CDBG-DR Funds. The 24th lot has some significant drainage issues and will meet the national objective of low-moderate income benefit by Habitat creating a green space/community garden for the community. Email correspondence/guidance has been received by HUD regarding the use of the last lot. Habitat is currently pursuing an avenue to convert the lot to a green space/community garden.

106 Juanita Drive  
Household Income: \$45,890.41  
Number of household members: 3  
Race: African American  
Female Head of Household: No



**Section 3 Qualitative Efforts:**

**Accomplishments Performance Measures**

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Properties	0		24/24	
# of Parcels acquired	0		24/24	
Total acquisition compensation	0		428568/42856	

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Housing Units	4		23/24	
# of Singlefamily Units	4		23/24	

**Beneficiaries Performance Measures**

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	0	1	1	9/24	14/0	23/24	100.00
# Owner Households	0	1	1	9/24	14/0	23/24	100.00

**Activity Locations**

**No Activity Locations found.**

**Other Funding Sources**

**Amount**

No Other Funding Sources Found

Total Other Funding Sources

**Other Funding Sources Budgeted - Detail**

**No Other Match Funding Sources Found**

**Activity Supporting Documents:** None





**Grantee Activity Number: DR-HOUS-04-HOMEBUYER ASSIST**  
**Activity Title: Homebuyer/Downpayment Assistance Program**

<b>Activity Type:</b> Homeownership Assistance to low- and moderate-income	<b>Activity Status:</b> Completed
<b>Project Number:</b> CD-2012-DR-003	<b>Project Title:</b> Recovery Housing
<b>Projected Start Date:</b> 01/01/2013	<b>Projected End Date:</b> 06/30/2016
<b>Benefit Type:</b> Direct ( HouseHold )	<b>Completed Activity Actual End Date:</b>
<b>National Objective:</b> Low/Mod	<b>Responsible Organization:</b> City of Tuscaloosa

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2021</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$0.00	\$110,000.00
<b>Total Budget</b>	\$0.00	\$110,000.00
<b>Total Obligated</b>	\$0.00	\$110,000.00
<b>Total Funds Drawdown</b>	\$0.00	\$110,000.00
<b>Program Funds Drawdown</b>	\$0.00	\$110,000.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$110,000.00
<b>Most Impacted and Distressed Expended</b>	\$110,000.00	\$110,000.00

**Activity Description:**

In order to assist citizens whose homes were destroyed during the tornado and to stimulate the repopulation of the affected disaster area, the City of Tuscaloosa is allocating a portion of the CDBG Disaster Recovery Grant for the purpose of providing incentive for citizens to purchase homes in the Tuscaloosa community.

Eligible applicants will include two separate pools of people:

- A person who was occupying a residence (whether owned or rented) within the recovery zone on the day of the storm and whose home is no longer habitable due to storm damage. This pool of applicants are eligible regardless of new home location as long as it is within the Tuscaloosa city limits (whether inside or outside of the recovery zone).
- A person who was living outside of the recovery zone on the day of the storm but who is purchasing a home that is located within the recovery zone.

Participants must meet income requirements and complete a "qualified homebuyer training" homeownership course. The participant must meet the requirements of a participating lending institution and qualify for a mortgage. The property to be purchased must be the participants' principle place of residence.

Previously, eligible applicants were awarded \$5,000 to be used toward the down payment and closing costs associated with the purchase of a home. An amendment to the Action Plan now awards eligible applicants with \$10,000 to be used toward the down payment and closing costs. If the upfront costs associated with purchasing a home are less than \$10,000, then the remaining amount will be applied directly to the principal mortgage amount. The award will be dispensed at the loan closing. Participants that were previously awarded \$5,000 through the program may submit an amended application to apply for the additional \$5,000; the additional \$5,000 will be applied to the principal mortgage and paid directly to the lending institution.

**Location Description:**



**Activity Progress Narrative:**

Updated to reflect the most impacted and distressed dollars.

**Section 3 Qualitative Efforts:**

**Accomplishments Performance Measures**

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Housing Units	0		11/11	
# of Singlefamily Units	0		11/11	

**Beneficiaries Performance Measures**

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Households	0	0	0	9/8	3/3	12/11	100.00
# Owner Households	0	0	0	9/8	3/3	12/11	100.00

**Activity Locations**

**No Activity Locations found.**

**Other Funding Sources**

**Amount**

No Other Funding Sources Found

Total Other Funding Sources

**Other Funding Sources Budgeted - Detail**

**No Other Match Funding Sources Found**

**Activity Supporting Documents:** None

**Project # /** CD-2012-DR-004 / Infrastructure



**Grantee Activity Number: DR-INFRA-01-HARGROVE/HACKBERRY**  
**Activity Title: Hargrove/Hackberry Realignment & Improvements**

<b>Activity Type:</b> Construction/reconstruction of streets	<b>Activity Status:</b> Under Way
<b>Project Number:</b> CD-2012-DR-004	<b>Project Title:</b> Infrastructure
<b>Projected Start Date:</b> 07/01/2013	<b>Projected End Date:</b> 06/30/2019
<b>Benefit Type:</b> Area ( Census )	<b>Completed Activity Actual End Date:</b> 02/20/2020
<b>National Objective:</b> Low/Mod	<b>Responsible Organization:</b> City of Tuscaloosa

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2021</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$0.00	\$1,798,406.00
<b>Total Budget</b>	\$0.00	\$1,798,406.00
<b>Total Obligated</b>	\$0.00	\$1,798,406.00
<b>Total Funds Drawdown</b>	\$0.00	\$318,093.82
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$318,093.82
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$318,093.82
<b>Most Impacted and Distressed Expended</b>	\$318,093.82	\$318,093.82

**Activity Description:**

Hargrove Road and Hackberry Lane are two heavily traveled roadway segments located in the heart of the recovery zone and are the primary roadways for two established neighborhoods that were heavily damaged from the storm, Hillcrest and Wood Manor. These roadways were severely damaged after the storm due to debris and the removal of debris by heavy equipment. Additionally, this area has attributed to a number of accidents and injuries at the intersection of the two roadways; more specifically 85 in the last ten years. The homes located at the intersection (a severe curve) were completely demolished as a result of the April 27, 2011 storms. Although unfortunate, the destruction of these homes has given the City an opportunity to make roadway improvements in coordination with the rebuilding of the homes. This project is a critical component of the City's long term disaster recovery plan, and will support the City's initiative to re-construct residential and commercial properties at the intersection of Hargrove Road and Hackberry Lane.

Improvements to the roadways will include road reconstruction to remedy the damage sustained from debris removal. While completing road reconstruction, the City will reduce the degree of the curve to resolve traffic accidents and construct a 6 foot wide sidewalk with street and pedestrian lighting. The addition of sidewalks will allow connection to the CityWalk, most closely at the 10th Avenue and University Place/Forest Lake portions.

The City of Tuscaloosa is allocating a total of \$952,247 in 2013 CDBG-DR funds to be used only for the necessary environmental reviews, engineering and architectural services and land acquisition for the Hargrove Road/Hackberry Lane infrastructure project. The project will be funded for construction through the City of Tuscaloosa's 2012 CDBG-DR allocation in the amount of \$1,798,406.

**Location Description:**

Hargrove Road, Tuscaloosa, Alabama; Hackberry Lane, Tuscaloosa, Alabama



### Activity Progress Narrative:

Updated to reflect the most impacted and distressed dollars, matching funds, performance measures and beneficiary info, and the completed activity actual end date.

### Section 3 Qualitative Efforts:

### Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public	1182	1182/1182

### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Persons	1984	1133	4828	1984/1984	1133/1133	4828/4828	64.56
LMI%:							64.56

### Activity Locations

**No Activity Locations found.**

#### Other Funding Sources

	Amount
2013 CDBG-DR Funds	\$952,247.00
ADECA (Alabama Department of Economic and Community Affairs)	\$0.00
ATRIP (Alabama Transportation Rehabilitation Improvements Program)	\$3,500,000.00
City of Tuscaloosa General Fund	\$352,000.00
City of Tuscaloosa Water and Sewer RFFI	\$0.00
Total Other Funding Sources	\$0.00

#### Other Funding Sources Budgeted - Detail

**No Other Match Funding Sources Found**

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**Activity Supporting Documents:** None



**Grantee Activity Number: DR-INFRA-02-UNIVERSITY PLACE**  
**Activity Title: University Place Infrastructure Project**

**Activity Type:**  
 Construction/reconstruction of streets  
**Project Number:**  
 CD-2012-DR-004  
**Projected Start Date:**  
 08/01/2013  
**Benefit Type:**  
 Area ( Census )  
**National Objective:**  
 Low/Mod

**Activity Status:**  
 Completed  
**Project Title:**  
 Infrastructure  
**Projected End Date:**  
 12/31/2018  
**Completed Activity Actual End Date:**  
 07/03/2015  
**Responsible Organization:**  
 City of Tuscaloosa

Overall	Jul 1 thru Sep 30, 2021	To Date
<b>Total Projected Budget from All Sources</b>	\$0.00	\$131,345.27
<b>Total Budget</b>	\$0.00	\$131,345.27
<b>Total Obligated</b>	\$0.00	\$131,345.27
<b>Total Funds Drawdown</b>	\$0.00	\$131,345.27
<b>Program Funds Drawdown</b>	\$0.00	\$131,345.27
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$131,345.27
<b>Most Impacted and Distressed Expended</b>	\$131,345.27	\$131,345.27

**Activity Description:**

Sitting at the corner of Hargrove Road and 2nd Avenue, immediately in the heart of the devastated Forest Lake community, was the Central Church of Christ campus. The church has partnered with the City to build the section of the Citywalk that was outlined to intersect with its campus. From this section to be built by Central Church of Christ, the City will build sidewalks that will head North on 2nd Avenue, turn right at University Place Drive and extend up to the University Place Elementary School campus.

The City believes that the construction of this portion of the Citywalk in conjunction with the rebuilt University Place Elementary School, Central Church of Christ and the continued redevelopment of housing will help give the community of Forest Lake an environment from which to rebuild and recover. The landscaping along the Citywalk will begin to replace some of the tree-cover and green space lost during the storm. This rebuilt sense of community plus the central location of the Forest Lake area can be an attraction point for private developers to continue the rebuilding and recovery for this neighborhood.

Funds will be used to pay for costs associated with the engineering, architectural, and design associated for the project as well as any necessary environmental reviews and property acquisition. The construction phase of the project will be funded through an amendment to the City of Tuscaloosa's 2013 CDBG-DR Action Plan using its 2013 allocation of CDBG-DR funds.

**Location Description:**

Sitting at the corner of Hargrove Road and 2nd Avenue, immediately in the heart of the devastated Forest Lake community, was the Central Church of Christ campus. The church has partnered with the City to build the section of the Citywalk that was outlined to intersect with its campus. From this section to be built by Central Church of Christ, the City will build sidewalks that will head North on 2nd Avenue, turn right at University Place Drive and extend up to the University Place Elementary School campus.



**Activity Progress Narrative:**

Updated to reflect activity completion date and beneficiary info.

**Section 3 Qualitative Efforts:**

**Accomplishments Performance Measures**

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public	0	2000/2000

**Beneficiaries Performance Measures**

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Persons	695	231	926	695/695	231/231	926/926	100.00

LMI%:	51.19
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**Activity Locations**

**No Activity Locations found.**

Other Funding Sources	Amount
2013 CDBG-DR Funds	\$2,203,938.00
City of Tuscaloosa CDBG Funds	\$1,184,640.00
City of Tuscaloosa Water and Sewer RFFI	\$50,000.00
Other 2012 CDBG-DR Funds	\$310,055.00
Total Other Funding Sources	\$0.00

**Other Funding Sources Budgeted - Detail**

**No Other Match Funding Sources Found**

**Activity Supporting Documents:** None





**Grantee Activity Number: DR-INFRA-03-FOREST LAKE**  
**Activity Title: Forest Lake Revitalization Infrastructure Project**

<b>Activity Type:</b> Construction/reconstruction of streets	<b>Activity Status:</b> Completed
<b>Project Number:</b> CD-2012-DR-004	<b>Project Title:</b> Infrastructure
<b>Projected Start Date:</b> 08/01/2013	<b>Projected End Date:</b> 12/31/2018
<b>Benefit Type:</b> Area ( Census )	<b>Completed Activity Actual End Date:</b> 07/03/2015
<b>National Objective:</b> Low/Mod	<b>Responsible Organization:</b> City of Tuscaloosa

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2021</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$0.00	\$223,525.77
<b>Total Budget</b>	\$0.00	\$223,525.77
<b>Total Obligated</b>	\$0.00	\$223,525.77
<b>Total Funds Drawdown</b>	\$0.00	\$223,525.77
<b>Program Funds Drawdown</b>	\$0.00	\$223,525.77
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$223,525.77
<b>Most Impacted and Distressed Expended</b>	\$223,525.77	\$223,525.77

**Activity Description:**

The Forest Lake Revitalization Infrastructure Project portion would then take over at the North end of the University Place Elementary School campus and continue North along 1st Avenue, turning right at Fernwood Street and then left at Lake Avenue where it will extend along the west side of Forest Lake using the natural beauty of the lake and surrounding homes as a backdrop. This project will end once the Citywalk reaches 15th Street. Funds will be used to pay for costs associated with the engineering, architectural, and design associated for the project as well as any necessary environmental reviews and property acquisition. The construction phase of the project will be funded through an amendment to the City of Tuscaloosa's 2013 CDBG-DR Action Plan using its 2013 allocation of CDBG-DR funds.

**Location Description:**

Forest Lake Revitalization Infrastructure Project: from the University Place Elementary School Campus running North along 1st Avenue, turning right and running East along Fernwood Street, turning left and running North along Lake Avenue ending at 15th Street.

**Activity Progress Narrative:**

Updated completed activity date and beneficiary info.

**Section 3 Qualitative Efforts:**



## Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public	0	1500/1500

## Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Persons	1299	733	3259	1299/1299	733/733	3259/3259	62.35

LMI%:	62.35
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## Activity Locations

**No Activity Locations found.**

### Other Funding Sources

	Amount
2013 CDBG-DR Funds	\$2,203,938.00
City of Tuscaloosa CDBG Funds	\$1,184,640.00
City of Tuscaloosa Water and Sewer RFFI	\$50,000.00
Other 2012 CDBG-DR Funds	\$152,192.00
Total Other Funding Sources	\$0.00

### Other Funding Sources Budgeted - Detail

**No Other Match Funding Sources Found**

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**Activity Supporting Documents:** None



**Grantee Activity Number: DR-INFRA-04-10TH AVENUE**  
**Activity Title: 10th Avenue Corridor Revitalization Infrastructure**

<b>Activity Type:</b> Construction/reconstruction of streets	<b>Activity Status:</b> Under Way
<b>Project Number:</b> CD-2012-DR-004	<b>Project Title:</b> Infrastructure
<b>Projected Start Date:</b> 08/01/2013	<b>Projected End Date:</b> 06/30/2019
<b>Benefit Type:</b> Area ( Census )	<b>Completed Activity Actual End Date:</b> 06/25/2020
<b>National Objective:</b> Low/Mod	<b>Responsible Organization:</b> City of Tuscaloosa

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2021</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$0.00	\$7,032,110.84
<b>Total Budget</b>	\$0.00	\$7,032,110.84
<b>Total Obligated</b>	\$0.00	\$7,032,110.84
<b>Total Funds Drawdown</b>	(\$300,000.00)	\$6,546,624.83
<b>Program Funds Drawdown</b>	(\$300,000.00)	\$5,446,771.21
<b>Program Income Drawdown</b>	\$0.00	\$1,099,853.62
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$6,846,624.83
City of Tuscaloosa	\$0.00	\$6,846,624.83
<b>Most Impacted and Distressed Expended</b>	\$0.00	\$6,846,625.00

**Activity Description:**

Tenth Avenue is a major traffic artery into the City of Tuscaloosa and the University of Alabama and with the addition of the Citywalk, and the reinvigorated streetscaping, can become the proper gateway to the City's attractions. It will also provide enhanced walkability and connectivity for the residents of Rosedale and the historic neighborhoods to possible commercial developments to come along this corridor. Funds will be used to pay costs associated with engineering and architectural services, property acquisition, and any necessary environmental reviews. Funds will also be used to pay for costs associated with the installation of the City Walk, street reconstruction, landscaping, lighting, utility burying and upgrades, technology, and various other amenities such as trash receptacles and benches.

**Location Description:**

Starting at Harmon Park on the South side of the Rosedale community, running East along 29th Street, turning left and running North along 10th Avenue until it intersects with Hargrove Road.

**Activity Progress Narrative:**

The City received \$300,000 in funds from the 10th Avenue Revitalization infrastructure activity as a result of an arbitration case with the engineer on the activity. Arbitration was pursued due to some faulty design that impacted the schedule of the project as well as some work that had to be redone. The \$300,000 received by the City was intended to be used toward The Downs Drainage activity and an Action Plan amendment was advertised and approved for this use. When working through the receipt of these dollars in DRGR, some questions arose that are currently being discussed with HUD on how to resolve in DRGR. For that reason, the \$300,000 is reflected in this QPR as a draw decrease of \$300,000 for the activity. A correction to correctly



reflect will be shown on the next QPR.

Also updated to include performance measures completed and the completed activity actual end date.

**Section 3 Qualitative Efforts:**

**Accomplishments Performance Measures**

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public	3000	3000/3000

**Beneficiaries Performance Measures**

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Persons	2458	1075	5035	2458/2458	1075/1075	5035/5035	70.17

LMI%:	70.17
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**Activity Locations**

**No Activity Locations found.**

**Other Funding Sources**

	Amount
ATRIP (Alabama Transportation Rehabilitation Improvements Program)	\$6,760,000.00
City of Tuscaloosa Water and Sewer RFFI	\$200,000.00
Total Other Funding Sources	\$0.00

**Other Funding Sources Budgeted - Detail**

**No Other Match Funding Sources Found**

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**Activity Supporting Documents:** None



**Grantee Activity Number: DR-INFRA-05-ALBERTA REVITALIZATION**  
**Activity Title: Alberta Revitalization Infrastructure Project**

<b>Activity Type:</b> Construction/reconstruction of streets	<b>Activity Status:</b> Under Way
<b>Project Number:</b> CD-2012-DR-004	<b>Project Title:</b> Infrastructure
<b>Projected Start Date:</b> 08/01/2013	<b>Projected End Date:</b> 06/30/2019
<b>Benefit Type:</b> Area ( Census )	<b>Completed Activity Actual End Date:</b> 03/01/2019
<b>National Objective:</b> Low/Mod	<b>Responsible Organization:</b> City of Tuscaloosa

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2021</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$0.00	\$1,221,834.64
<b>Total Budget</b>	\$0.00	\$1,221,834.64
<b>Total Obligated</b>	\$0.00	\$1,221,834.64
<b>Total Funds Drawdown</b>	\$0.00	\$1,221,834.64
<b>Program Funds Drawdown</b>	\$0.00	\$865,691.95
<b>Program Income Drawdown</b>	\$0.00	\$356,142.69
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$1,221,834.64
<b>Most Impacted and Distressed Expended</b>	\$1,221,834.64	\$1,221,834.64

**Activity Description:**

In order to augment the housing plan that the City has developed for Alberta, the City also proposes a large-scale infrastructure project that incorporates the Citywalk. The Citywalk route will extend along the South side of the proposed Alberta Parkway (now 7th Street East) and continue until reaching the new site of Alberta Elementary School, where it will branch to the North and South entrances of the school. Within this infrastructure project, the parameters of 7th Street East will be widened and a landscaped median will be put in. Heading West, away from the school, this project will terminate at the site of Jaycee Park (currently an underutilized community facility in Alberta which the Tuscaloosa Forward Generational Plan proposes, and fundraising/sponsorship efforts are already underway, to greatly improve). This project will also incorporate the burying of utility lines and provide for a much needed upgrade in drainage and sewer improvements for the residents of this area. Funds will be used to pay for costs associated with the engineering, architectural, and design associated with the project as well as any necessary environmental reviews advertisements, construction, technology, and any other necessary and eligible activities. \$8,696,246.88 in 2013 CDBG-DR funds, \$124,600 in City of Tuscaloosa Water and Sewer RFFI funds, and \$15,000 in City of Tuscaloosa General Fund dollars are being leveraged with 2012 CDBG-DR funds for this activity. \*\$60,015.47 in Commercial Revolving Loan Program program funds and \$807,122.90 in Commercial Revolving Loan Program Income funds were reallocated to this activity as part of HUD approved Amendment #10.\*

**Location Description:**

8th Street East bordered by Jaycee Park to the west and Alberta Elementary School campus to the east. Tuscaloosa, Alabama



**Activity Progress Narrative:**

In the reported quarter, the City continued to move forward with the proposed sale of the Alberta Parkway parcel remnants to a private developer. The City is awaiting the developer to provide surveys of each parcel remnant. Once the parcel remnant surveys are received, the City will move forward with procuring for appraisal and review appraisal services.

Updated completion date and beneficiary information as well.

**Section 3 Qualitative Efforts:**

**Accomplishments Performance Measures**

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
<b># of Linear feet of Public</b>	2690	3550/3550

**Beneficiaries Performance Measures**

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
<b># of Persons</b>	1688	1687	3375	1688/1360	1687/1360	3375/3375	100.00

<b>LMI%:</b>	80.59
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**Activity Locations**

**No Activity Locations found.**

<b>Other Funding Sources</b>	<b>Amount</b>
No Other Funding Sources Found	
Total Other Funding Sources	

**Other Funding Sources Budgeted - Detail**

<b>Match Sources</b>	<b>Amount</b>
2013 CDBG-DR Funds	\$8,696,246.88
City of Tuscaloosa General Fund	\$15,000.00
City of Tuscaloosa Water and Sewer RFFI	\$124,600.00
Subtotal Match Sources	

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**Activity Supporting Documents:** None





**Grantee Activity Number: DR-INFRA-07-JUANITA DRIVE PHASE II**  
**Activity Title: Juanita Drive Phase II**

**Activity Type:**  
 Construction/reconstruction of streets  
**Project Number:**  
 CD-2012-DR-004  
**Projected Start Date:**  
 05/30/2019  
**Benefit Type:**  
 Area ( Census )  
**National Objective:**  
 Low/Mod

**Activity Status:**  
 Under Way  
**Project Title:**  
 Infrastructure  
**Projected End Date:**  
 09/30/2020  
**Completed Activity Actual End Date:**  
  
**Responsible Organization:**  
 City of Tuscaloosa

Overall	Jul 1 thru Sep 30, 2021	To Date
<b>Total Projected Budget from All Sources</b>	\$0.00	\$969,522.45
<b>Total Budget</b>	\$0.00	\$969,522.45
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
<b>Most Impacted and Distressed Expended</b>	\$0.00	\$0.00

**Activity Description:**

Phase I of Juanita Drive is complete. The Juanita Drive Phase II infrastructure development constitutes the second phase of the continuation of Juanita Drive. On April 27, 2011 a large portion of low-income housing rental stock was destroyed in the Alberta area including along Juanita Drive. Since then, the efforts of the City, Habitat for Humanity, and other philanthropic organizations in combination with the citizen's commitment to the area; housing restoration and promotion of homeownership has been a steady process. Concentrated in an extremely poverty stricken area of Tuscaloosa, the Juanita Drive infrastructure is severely aged and needs to be updated to support the rebuilding in the area. The project will take place in two phases. Infrastructure improvements will include, at a minimum, roadway improvements, streetscaping and utility upgrades, including roadway widening, sidewalks, storm sewers, and water and sewer replacements. In close proximity to the Alberta School of the Performing Arts, Juanita Drive infrastructure improvements will enhance access to the school and support the rebuilding of single family homes in the area. The improvements along Juanita Drive will greatly improve the quality life for the low-moderate income homeowners.

**Location Description:**

The Northern Loop of Juanita Drive, Tuscaloosa, Alabama

**Activity Progress Narrative:**

In the reported quarter, appraisal and review appraisals were conducted by the procured professional service providers for easements needed for the Juanita Drive Phase II activity. Engineering and design of the activity also continued.



**Section 3 Qualitative Efforts:**

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures**

**Beneficiaries Performance Measures**

**No Beneficiaries Performance Measures found.**

**Activity Locations**

**No Activity Locations found.**

**Other Funding Sources**

	<b>Amount</b>
City of Tuscaloosa CDBG Funds	\$1,369,472.00
Economic Development Administration	\$969,522.00
Total Other Funding Sources	\$0.00

**Other Funding Sources Budgeted - Detail**

**No Other Match Funding Sources Found**

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**Activity Supporting Documents:** None



**Grantee Activity Number: DR-INFRA-08-THE DOWNS DRAINAGE SYSTEM IMPROVEMENTS**  
**Activity Title: The Downs Drainage System Improvements**

**Activity Type:**  
Construction/reconstruction of water/sewer lines or systems  
**Project Number:**  
CD-2012-DR-004  
**Projected Start Date:**  
04/30/2019  
**Benefit Type:**  
Area ( Census )  
**National Objective:**  
Low/Mod

**Activity Status:**  
Under Way  
**Project Title:**  
Infrastructure  
**Projected End Date:**  
04/30/2020  
**Completed Activity Actual End Date:**  
  
**Responsible Organization:**  
City of Tuscaloosa

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2021</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$0.00	\$550,000.00
<b>Total Budget</b>	\$300,000.00	\$550,000.00
<b>Total Obligated</b>	\$300,000.00	\$550,000.00
<b>Total Funds Drawdown</b>	\$0.00	\$155,190.50
<b>Program Funds Drawdown</b>	\$0.00	\$78,033.57
<b>Program Income Drawdown</b>	\$0.00	\$77,156.93
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$155,190.50
City of Tuscaloosa	\$0.00	\$155,190.50
<b>Most Impacted and Distressed Expended</b>	\$0.00	\$155,190.50

**Activity Description:**

Since the April 2011 tornado, localized flooding has been a problem in The Downs neighborhood. The existing pipes are undersized for conveying storm water. As a result, the City plans to add a capacity drainage system by replacing the existing pipes with larger diameter pipes or reroute the drainage into a new system along the north and west sides of Windsor Drive, which is located in the Downs neighborhood. This will prevent damage to homeowner's private property, increase roadway safety due to reduced roadway overtopping, and reduce localized flooding.

**Location Description:**

The Downs, Tuscaloosa, Alabama 35401

**Activity Progress Narrative:**

In the reported quarter, appraisal and review appraisals were conducted by the procured professional service providers for easements needed for The Downs Drainage System activity. Engineering and design of the activity also continued.

The City also received \$300,000 in funds from the 10th Avenue Revitalization infrastructure activity as a result



of an arbitration case with the engineer on the activity. The \$300,000 received by the City was intended to be used toward The Downs Drainage activity and an Action Plan amendment was advertised and approved for this use. When working through the receipt of these dollars in DRGR, some questions arose that are currently being discussed with HUD on how to resolve in DRGR. For that reason, the \$300,000 is reflected in this QPR as a budget increase and obligation.

The proposed linear feet of performance measures will be entered in when after the QPR has been approved so that the actuals may be entered after construction has began.

2,088 linear feet of storm drain improvements  
900 linear feet of sanitary sewer improvements  
350 linear feet of waterline improvements  
3,338 linear feet of total improvements

**Section 3 Qualitative Efforts:**

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures**

**Beneficiaries Performance Measures**

**No Beneficiaries Performance Measures found.**

**Activity Locations**

**No Activity Locations found.**

**Other Funding Sources**

2013 CDBG-DR Funds

**Amount**

\$750,000.00

Total Other Funding Sources

\$0.00

**Other Funding Sources Budgeted - Detail**

**No Other Match Funding Sources Found**

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**Activity Supporting Documents:**

None

**Project # /** CD-2012-DR-005 / Recovery Economic Development



**Grantee Activity Number: DR-ECON DEV-01-COMM REVOLVING LOAN****Activity Title: Commercial Revolving Loan Program****Activity Type:**

Econ. development or recovery activity that creates/retains

**Activity Status:**

Under Way

**Project Number:**

CD-2012-DR-005

**Project Title:**

Recovery Economic Development

**Projected Start Date:**

12/01/2012

**Projected End Date:**

08/31/2022

**Benefit Type:**

Direct ( Person )

**Completed Activity Actual End Date:****National Objective:**

Low/Mod

**Responsible Organization:**

City of Tuscaloosa

**Overall**

	<b>Jul 1 thru Sep 30, 2021</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$0.00	\$3,408,680.32
<b>Total Budget</b>	\$0.00	\$3,408,680.32
<b>Total Obligated</b>	\$0.00	\$3,408,680.32
<b>Total Funds Drawdown</b>	\$0.00	\$3,173,508.45
<b>Program Funds Drawdown</b>	\$0.00	\$2,077,527.77
<b>Program Income Drawdown</b>	\$0.00	\$1,095,980.68
<b>Program Income Received</b>	\$3,357.94	\$3,173,136.51
<b>Total Funds Expended</b>	\$0.00	\$3,173,126.10
City of Tuscaloosa	\$0.00	\$3,173,126.10
<b>Most Impacted and Distressed Expended</b>	\$116.61	\$3,173,126.10

**Activity Description:**

A revolving loan fund will be set up by the City with the following details for administration.

- Application Deadline: Ongoing. 30 days of open application acceptance starting October 1, 2012. All applications received between October 1, 2012 and October 30, 2012 will be evaluated as one batch. All applications received November 1, 2012 and after will be evaluated in the order that the Disaster Recovery Division of the Office of the Mayor receives them.
- Borrower: All commercial entities whose main operational location is/will be located in the recovery zone (see attached map).
- Use of Proceeds: Land and building purchase or improvement, machinery and equipment purchase, leasehold improvements, working capital, inventory purchase, and refinancing of existing debt.
- Collateral: Adequate collateral position must be secured by assets of receiving business consisting of land, building or machinery and equipment. Collateral value will be established by appraisal or cost verification.
- Interest Rate: All loans will be released at an accrual rate of zero percent interest.
- Loan Term/Amortization: Loans will require a monthly payment. Loan amortization time will be no more than three years.
- Loan Sizing: Minimum loan of \$20,000 and maximum loan of \$200,000. No project under \$20,000 (total cost) will be considered.

All funding will be used to award eligible businesses for eligible costs within the loan terms and to perform any necessary environmental reviews.

\*\*\*Activity budget was increased by \$915,663.54 for a total activity budget of \$3,655,663.54 on 2/25/16. \$915,663.54 is the total amount of Program Income received by the City of Tuscaloosa to date. \*\*\*

\*\*\*\*Due to the Commercial Revolving Loan Program no longer accepting applications, there were additional program funds remaining. In an Action Plan amendment, approved by HUD on August 2, 2017, \$750,000 of program funds were reallocated to an activity known as The Edge and \$60,015.47 of program funds were reallocated to the Alberta Revitalization activity. \$807,122.90 of program income funds were reallocated to the



Alberta Revitalization activity. In the future, remaining PI funds will be received here but obligated to other activities.\*\*\*\*  
 Activity was edited on 10/20/17 to increase the activity budget by \$1,269,151.20 to account for program income projects and program income interest income earned to date. This had to be done to be able to draw on program income interest earned and program income in the future. Will be updated quarterly to reflect to the program interest income earned.

**Location Description:**

All commercial entities whose main operational location is/will be located in the recovery zone will be eligible to apply.

**Activity Progress Narrative:**

Program Income received from the quarter is \$3,357.94

**Section 3 Qualitative Efforts:**

**Accomplishments Performance Measures**

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Businesses	0	16/13

**Beneficiaries Performance Measures**

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	119/7	12/7	154/25	85.06
# of Permanent Jobs	0	0	0	51/7	13/7	91/27	70.33

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Persons	0	0	0	386/14	113/14	504/52	99.01

**Activity Locations**

No Activity Locations found.

**Other Funding Sources**

**Amount**

No Other Funding Sources Found

Total Other Funding Sources

**Other Funding Sources Budgeted - Detail**

No Other Match Funding Sources Found

**Activity Supporting Documents:** None

**Project # /** CD-2012-DR-006 / Recovery Economic Development (Non





**Grantee Activity Number: DR-ECO DEV NONRLF-01-SMALL BUSINESS LOAN**

**Activity Title: Small Business Revitalization Loan**

**Activity Type:**

Econ. development or recovery activity that creates/retains

**Project Number:**

CD-2012-DR-006

**Projected Start Date:**

04/18/2017

**Benefit Type:**

Direct ( Person )

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Recovery Economic Development (Non RLF)

**Projected End Date:**

09/30/2022

**Completed Activity Actual End Date:**

**Responsible Organization:**

City of Tuscaloosa

**Overall**

	<b>Jul 1 thru Sep 30, 2021</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$0.00	\$90,477.11
<b>Total Budget</b>	\$0.00	\$90,477.11
<b>Total Obligated</b>	\$0.00	\$90,477.11
<b>Total Funds Drawdown</b>	\$0.00	\$90,477.11
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$90,477.11
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$90,477.11
<b>Most Impacted and Distressed Expended</b>	\$90,477.11	\$90,477.11

**Activity Description:**

The purpose of the forgivable loan program is to attract local small businesses to the devastated areas impacted by the storm in the hopes that it will spur both job growth for low to moderate income individuals, as well as economic growth for affected businesses. The loan program is one of several programs the City is promoting to assist struggling local businesses to get back to pre-storm operations. Many of the businesses destroyed during the tornado do not have the means to rebuild. This funding will help supplement the cost of returning to their previous locations and maintain or grow their employee base. The City is requiring these funds to directly benefit low to moderate income individuals and businesses while helping promote economic recovery in the tornado impacted areas of the City. Funds will be available to businesses that are located within the disaster area or businesses relocating to the area.

The City has developed a loan committee comprised of City employees from five different departments and disciplines to review all loan applications before making a recommendation to the City Council. Applicants are required to submit financial documents such as tax returns and financial statements, which are subject to review, by the City's finance director for the applicant's capacity to manage the funds and make repayment should that be necessary in the event of default. If additional underwriting is required, the City will consult with the selected firm(s) in an effort to minimize any risk of default on behalf of the business owner and to provide funding within an acceptable range based on business credit availability. If the loan committee does not approve the loan, the business is allowed to appeal the decision and the application will undergo review by another committee comprised of esteemed community individuals.

This forgivable loan program has been successfully executed with 2012 and 2013 ADECA CDBG-DR, 2013 City CDBG-DR funds and the City desires to allocate these additional funds with direct CDBG-DR funds under the same guidelines as the ADECA funded program.

Application: Application will be released to the public via the City's website and in hard copy format at City Hall, Tuscaloosa Public Library, and other acceptable public venues. Any document preparation and development



support may be available to local businesses through the City's Chamber of Commerce or similar sources. The City will collect, date time stamp, and review applications on a first come, first serve basis. The application period will remain open until all funds have been expended or the grant period timeline has expired.

**Borrower:** Eligible businesses include all commercial entities whose main operational location is or will be located in the recovery zone.

**Use of proceeds:** Land and building purchase or improvement, machinery and equipment purchase, leasehold improvements, working capital, inventory purchase and refinancing of existing debt.

**Collateral:** Adequate collateral position must be secured by assets of receiving business consisting of land, building, machinery, equipment, letter of credit, or other acceptable collateral as determined by the Office of the City Attorney. Collateral value will be established by appraisal or cost verification prior to award.

**Interest Rate:** All loans will be released at an accrual rate of zero percent interest.

**Loan Term/Amortization:** Loans will require a monthly status update with six month and one year monitoring visits, at a minimum. Because the loan may be forgivable following the term of the loan, no repayment schedule will be implemented at this time.

**Loan Sizing:** The minimum loan amount for this program is \$20,000 with a maximum loan amount of \$50,000 dependent on the location of the business in the City's designated eligibility map.

**CDBG-DR Loan Review Committee** will be established to review/approve all loan applications received in the manner set out above. Loan committee will also be the body that sets all parameters of the loan within the guidelines set out above.

Businesses will receive loans in the order that they are approved until the budgeted funds are depleted for the funding timeline.

The CDBG-DR Loan Review Committee may request lease documents, mortgage information or other information they deem appropriate before approving the application. The applicant must submit a detailed project description and project budget. The project must begin within 90 days of receiving the loan payment. The City reserves the right to hold the applicant in default if the loan proceeds are not spent in accordance with the approved project description. Those receiving loans must be able to show that at least 51% or more of the job positions at the business are either known to be currently held by low-moderate income persons at the time the loan is received or are not currently held by low-moderate income persons but could be reasonably expected to "turn-over" to low-moderate income persons within two years.

This loan is a forgivable loan and if the awardees spend the loan proceeds in accordance with the rules set forth in the application over a year long period then the awardee will be considered compliant and will not be required to repay the loan amount.

The City of Tuscaloosa is allocating a total of \$90,477.11 to the Small Business Revitalization Loan Program in this Action Plan. \$1,500,000 of 2012 and 2013 ADECA CDBG-DR funds are being used for the same purpose as well as \$500,000 of 2013 CDBG-DR funds. Applicants may request either \$20,000 or \$50,000 depending on the location of their business on the City's designated eligibility map.

Businesses within the City's designated eligibility map – Zone 1 = \$50,000; Zone 2 = \$20,000; Zone 3 = \$50,000.

### Location Description:

Various locations throughout the City of Tuscaloosa within the designated disaster recovery loan map area

### Activity Progress Narrative:

Updated to reflect most impacted and distressed funds, matching funds, and the performance and beneficiary information. This activity is complete and the activity status will be marked as complete in a an upcoming Action Plan update.

2 businesses were awarded the Small Business Revitalization Loan with 2012 CDBG-DR funds; Mother Goose Day Care and C&Z Pizza (Domino's). Each of these businesses complied with all the program requirements and close-out monitoring sessions were completed. Part-time jobs are not allowed to be entered into the DRGR system, nor created or retained. See below for the breakdown for each.

Mother Goose: Part-time created - 3; Full-time retained - 1

C&Z Pizza: Full-time created - 15; Part-time created - 34

### Section 3 Qualitative Efforts:

#### Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
<b># of Businesses</b>	2	2/3

#### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
<b># of Permanent Jobs Created</b>	27	0	27	34/2	0/0	34/2	100.00



	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
<b># of Persons</b>	43	0	43	53/2	0/0	53/2	100.00

### Activity Locations

**No Activity Locations found.**

### Other Funding Sources

	Amount
2013 CDBG-DR Funds	\$500,000.00
ADECA (Alabama Department of Economic and Community Affairs)	\$1,500,000.00
Total Other Funding Sources	\$0.00

### Other Funding Sources Budgeted - Detail

**No Other Match Funding Sources Found**

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**Activity Supporting Documents:** None



**Grantee Activity Number: DR-ECO DEV NONRLF-03- ALDAG JR. BUSINESS PLAN**  
**Activity Title: Edward K. Aldag Jr. Business Plan Competition**

**Activity Type:**  
Econ. development or recovery activity that creates/retains

**Project Number:**  
CD-2012-DR-006

**Projected Start Date:**  
08/31/2018

**Benefit Type:**  
Direct ( Person )

**National Objective:**  
Low/Mod

**Activity Status:**  
Under Way

**Project Title:**  
Recovery Economic Development (Non RLF)

**Projected End Date:**  
08/31/2019

**Completed Activity Actual End Date:**  
08/01/2019

**Responsible Organization:**  
City of Tuscaloosa

Overall	Jul 1 thru Sep 30, 2021	To Date
<b>Total Projected Budget from All Sources</b>	\$0.00	\$52,287.50
<b>Total Budget</b>	\$0.00	\$52,287.50
<b>Total Obligated</b>	\$0.00	\$52,287.50
<b>Total Funds Drawdown</b>	\$0.00	\$52,287.50
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$52,287.50
<b>Program Income Received</b>	\$0.00	\$31.65
<b>Total Funds Expended</b>	\$0.00	\$52,287.50
<b>Most Impacted and Distressed Expended</b>	\$52,287.50	\$52,287.50

**Activity Description:**

This activity was created in order to stimulate economic development. The City of Tuscaloosa is partnering with the University of Alabama and the Edge by allocating a portion of the CDBG Disaster Recovery Grant for the purpose of providing assistance to a community business through a competition.

A donor, Mr. Edward K. Aldag Jr., donated \$50,000 to the University of Alabama to fund a student entrepreneur that is starting or expanding a business. The University of Alabama and the Edge approached the City about adding a community component; funding a community entrepreneur with a start-up or expanding an existing business. The winner of the City's \$50,000 funded through CDBG-DR funds, must have a business currently located or to be located within the City's designated recovery zone in order to continue to spur economic development. Additionally, the funds must be used toward an eligible activity as defined in the threshold criteria and one low to moderate income job must be created. The winner will, at a minimum, be subject to a one-year compliance term. The competition will be held on March 27, 2018. Participants will pitch their business plan and concept to a panel of judges with various backgrounds for selection.

Eligibility and National Objective: Economic development assistance for for-profit business with low- moderate income job creation and retention.

Threshold Criteria: Eligible businesses include all commercial entities whose main operational location is or will be located in the recovery zone.

Use of proceeds: Land and building purchase or improvement, machinery and equipment purchase, leasehold improvements, working capital, and inventory purchase.

Collateral: Adequate collateral position must be secured by assets of receiving business consisting of land, building, machinery, equipment, letter of credit, or other acceptable collateral as determined by the Office of the City Attorney. Collateral value will be established by appraisal or cost verification prior to award.

Job Creation: Creation of one full-time equivalent, low to moderate income job.



Grant Size Limit: The City of Tuscaloosa is allocating \$50,000 to the Edward K. Aldag Jr. Business Plan Completion in this Action Plan.

**Location Description:**

Locations within the City's designated eligibility map.

**Activity Progress Narrative:**

Updated to reflect the completed activity actual end date and most impacted and distressed funds.

**Section 3 Qualitative Efforts:**

**Accomplishments Performance Measures**

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Businesses	0	1/1

**Beneficiaries Performance Measures**

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	2/1	0/0	2/1	100.00

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
# of Persons	0	0	0	10/1	0/0	10/1	100.00

**Activity Locations**

No Activity Locations found.

**Other Funding Sources**

No Other Funding Sources Found  
Total Other Funding Sources

**Amount**

**Other Funding Sources Budgeted - Detail**

**Match Sources**

The University of Alabama  
Subtotal Match Sources

**Amount**  
\$50,000.00

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**Activity Supporting Documents:** None



**Grantee Activity Number: DR-ECON DEV NONRLF-04-INNOVATE TUSCALOOSA**

**Activity Title: Innovate Tuscaloosa**

**Activity Type:**

Econ. development or recovery activity that creates/retains

**Project Number:**

CD-2012-DR-006

**Projected Start Date:**

07/01/2018

**Benefit Type:**

Direct ( Person )

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Recovery Economic Development (Non RLF)

**Projected End Date:**

07/01/2020

**Completed Activity Actual End Date:**

09/10/2021

**Responsible Organization:**

City of Tuscaloosa

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2021</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	\$0.00	\$200,000.00
<b>Total Budget</b>	\$0.00	\$200,000.00
<b>Total Obligated</b>	\$0.00	\$200,000.00
<b>Total Funds Drawdown</b>	\$0.00	\$200,000.00
<b>Program Funds Drawdown</b>	\$0.00	\$155,930.53
<b>Program Income Drawdown</b>	\$0.00	\$44,069.47
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$200,000.00
<b>Most Impacted and Distressed Expended</b>	\$200,000.00	\$200,000.00

**Activity Description:**

This activity was created in order to stimulate economic development to the 10th Avenue and Alberta areas of Tuscaloosa. The City of Tuscaloosa is allocating a portion of the CDBG Disaster Recovery Grant for the purpose of providing assistance to two community businesses that provide a new service, or missing service to those areas.

Similar to what the City has already put into plan with its Commercial Revolving Loan and Small Business Revitalization Loan programs, the Innovate Tuscaloosa program will encourage business development in the 10th Avenue and Alberta areas; which are still suffering from the economic effects of the April 27, 2011 tornado. This forgivable loan program will spur both job growth for low to moderate income individuals as well as jumpstart economic growth in those areas. The City is requiring these funds to directly benefit low to moderate individuals and businesses while helping promote economic recovery. Funds will be available to businesses that are located within the disaster area or looking to be located in the disaster area.

The City has developed a loan committee comprised of City employees from different departments and disciplines to review all loan applications. Applicants are required to submit financial documents such as tax returns and financial statements, which are subject to review, by the City's finance director for the applicant's capacity to manage the funds and make repayment should that be necessary in the event of default. If additional underwriting is required, the City will consult with the selected firm(s) in an effort to minimize any risk of default on behalf of the business owner and to provide funding within an acceptable range based on business credit availability. If the loan committee does not recommend the loan for approval, the business is allowed to appeal the decision to the City of Tuscaloosa City Council.

Eligibility and National Objective: Economic development assistance for for-profit business with low- moderate income job creation and retention.

Threshold Criteria: Application: The application will be released to the public via the City's website and in hard copy format at City Hall, Tuscaloosa Public Library, and other acceptable public venues. Any document



preparation and development support may be available to local businesses through the City's Chamber of Commerce or similar sources. The City will collect, date time stamp, and review applications on a first come, first serve basis. The application period will remain open until all funds have been expended or the grant period timeline has expired.

**Borrower:** Eligible businesses include all commercial entities whose main operational location is or will be located in the recovery zone.

**Use of proceeds:** Land and building purchase or improvement, machinery and equipment purchase, leasehold improvements, working capital, inventory purchase and refinancing of existing debt.

**Collateral:** Adequate collateral position must be secured by assets of receiving business consisting of land, building, machinery, equipment, letter of credit, or other acceptable collateral as determined by the Office of the City Attorney. Collateral value will be established by appraisal or cost verification prior to award.

**Interest Rate:** All loans will be released at an accrual rate of zero percent interest.

**Loan Term/Amortization:** Loans will require a monthly status update with six month and one year monitoring visits, at a minimum. Because the loan may be forgivable following the term of the loan, no repayment schedule will be implemented at this time.

**Loan Sizing:** Maximum loan amount per business is \$200,000.

**Job Creation:** Creation of one full-time equivalent, low to moderate income job.

After applications are received and reviewed, applications will be reviewed by various departments and divisions across the City for technical review.

CDBG-DR Loan Review Committee reviews and recommends to the CDBG Committee the eligible loan applications received in the manner set out above. Loan committee will also be the body that sets all parameters of the loan within the guidelines set out above. Recommended applicants will pitch their purpose to CDBG Committee. The CDBG Committee will have the final say on the approval of loans. The City may request lease documents, mortgage information or other information they deem appropriate before selecting the application for approval. The applicant must submit a detailed project description and project budget. The project must begin within 60 days of receiving the loan payment. The City reserves the right to hold the applicant in default if the loan proceeds are not spent in accordance with the approved project description. Those receiving loans must be able to show that at least 51% or more of the job positions at the business are either known to be currently held by low-moderate income persons at the time the loan is received or are not currently held by low-moderate income persons but could be reasonably expected to "turn-over" to low-moderate income persons within two years.

This loan is a forgivable loan and if the awardees spend the loan proceeds in accordance with the rules set forth in the application over a year long period then the awardee will be considered compliant and will not be required to repay the loan amount.

**Grant Size Limit:** The City of Tuscaloosa is allocating a total of \$200,000 to the Innovate Tuscaloosa activity in this action plan.

### Location Description:

Locations within the City's designated eligibility map.

### Activity Progress Narrative:

In the reported quarter, the close-out monitoring visit was held with the Innovate Tuscaloosa receipt; SWJ Technology (Alberta Technology Center). At the on-site visit, it was determined that SWJ had used the funding for the intended eligible use and that they had posted and made available to all employees the EEO and anti-discrimination policies. In addition, SWJ was able to provide documentation on the advertisement and creation of four full-time equivalent jobs which meets the regulations of the creation/retention of one full-time equivalent job per every \$50,000 loaned or granted. Job creation information is listed below:

Shanequa Scott; black; female; full-time

Rene Neubacher; white; male; full-time

Rachel Craft; white; female; full-time

Kaleb Welch; black; male; part-time

Windell Davis; black; male; part-time

The performance and beneficiary measures have been entered in this QPR as well as the completed activity actual end date which reflects the date of signature of the close-out monitoring checklist by the City and SWJ and the most impacted and distressed dollars.

### Section 3 Qualitative Efforts:

#### Accomplishments Performance Measures

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
<b># of Businesses</b>	1		1/2	

#### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%



<b># of Permanent Jobs Created</b>	4	0	4	4/4	0/0	4/4	100.00
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	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod
<b># of Persons</b>	5	0	5	5/4	0/0	5/4	100.00

### Activity Locations

**No Activity Locations found.**

#### Other Funding Sources

**Amount**

No Other Funding Sources Found  
 Total Other Funding Sources

### Other Funding Sources Budgeted - Detail

**No Other Match Funding Sources Found**

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**Activity Supporting Documents:** None

### Monitoring, Audit, and Technical Assistance

Event Type	This Report Period	To Date
Monitoring, Audits, and Technical Assistance	0	4
Monitoring Visits	0	4
Audit Visits	0	0
Technical Assistance Visits	0	0
Monitoring/Technical Assistance Visits	0	0
Report/Letter Issued	0	4

